

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT
(Use several sheets if necessary)
(Page 1 of 3)

FEB 15 2002

U.S. PATENT & TRADEMARK OFFICE

ATTY. DOCKET NO.: SU-7245

SERIAL NO.: 10/028,631

APPLICANT: JONATHAN N. HOWARTH ET AL.

FILING DATE: DECEMBER 21, 2001

GROUP:

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
M	AB	4	6	5	9	3	5	9	4/87	Lorenz et al.	71	67	
	AC	4	6	8	1	9	4	8	7/87	Worley	548	319	
	AD	4	7	6	7	5	4	2	8/88	Worley	210	755	
	AE	4	8	2	2	5	1	2	4/89	Auchincloss	252	106	
	AF	5	0	5	7	6	1	2	10/91	Worley et al.	548	301	
	AG	5	2	0	8	0	5	7	5/93	Greenley et al.	426	332	
	AH	5	2	8	6	4	7	9	2/94	Garlich et al.	424	54	
	AI	5	3	2	0	8	2	9	6/94	Garlich et al.	424	54	
	AJ	5	4	0	7	5	9	8	4/95	Olson et al.	252	186.35	
	AK	5	4	9	0	9	8	3	2/96	Worley et al.	424	405	
	AL	5	5	6	5	1	0	9	10/96	Sweeny	210	755	
	AM	5	6	0	3	9	4	1	2/97	Farina et al.	424	405	
	AN	5	6	2	2	7	0	8	4/97	Richter et al.	424	405	
	AO	5	6	7	0	6	4	6	9/97	Worley et al.	548	301.1	
	AP	5	8	0	8	0	8	9	9/98	Worley et al.	548	318.5	
	AQ	5	8	3	0	5	1	1	11/98	Mullerat et al.	424	661	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
M	AR	0	1	7	7	6	4	5	4/86	EPO				
	AS	0	5	5	0	1	3	7	7/93	EPO				
	AT	0	5	8	4	9	5	5	3/94	EPO				
	AU	1	6	0	0	2	8	9	10/81	United Kingdom				
	AV	2	2	6	7	4	8	7	12/93	United Kingdom				

OTHER ENCLOSED DOCUMENTS

AW	
AX	
AY	

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) (Page 3 of 3)										ATTY. DOCKET NO.: SU-7245 SERIAL NO.: 10/028,631				
APPLICANT: JONATHAN N. HOWARTH ET AL.										FILING DATE: DECEMBER 21, 2001 GROUP:				

REFERENCE DESIGNATION															U. S. PATENT DOCUMENTS														
EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE															
M	CB	6	1	7	2	0	4	0	1/01	Naidu	514	6																	
J	CC	6	2	9	9	9	0	9	10/01	Moore, Jr. et al.	424	703																	
J	CD	6	3	0	6	4	4	1	10/01	Moore, Jr. et al.	424	703																	
J	CE	6	3	2	2	8	2	2	11/01	Moore, Jr. et al.	424	703																	

FOREIGN PATENT DOCUMENTS															
		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	CF														
	CG														
	CH														
	CI														

OTHER ENCLOSED DOCUMENTS														
M	CJ	Frosti Abstract of Sundheim, G., et al., "Resistance of meat associated staphylococci to a quaternary ammonium compound", Food Microbiology, 1992, 9(2), 161-7. Accession No. 291734 Frosti												
M	CK	Frosti Abstract of "Foodborne Pathogen Control", Poultry International, 1994, (July), 62, author unknown. Accession No. 359896 Frosti												
M	CL	Frosti Abstract of Smith, G., "Poultry industry looks to chlorine dioxide for pathogen control", Meat Processing, 1996, 35(10), 47. Accession No. 429057 Frosti												
M	CM	Carpentier et al., "Biofilms and their consequences, with particular reference to hygiene in the food industry", Journal of Applied Bacteriology, 1993, Vol. 75, ppg 499-511.												
J	CN	Mora et al., "Properties of a New Chloramine Disinfectant and Detoxicant", Poultry Science, 1982, vol 61, ppg 1968-1971.												
J	CO	Mantilla-Sandholm et al., "Biofilm Formation in the Industry: A Review", Food Reviews International, 8(4), 1992, ppg 573-603.												
J	CP	Smith et al., "Potential Uses of Combined Halogen Disinfectants in Poultry Processing", Poultry Science, 1990, vol. 69, ppg 1590-1594.												
J	CQ	Williams, et al., "Research Note: Combined Halogen Disinfectants in Poultry Processing", Poultry Science, 1990, vol 69, ppg 2248-2251.												
J	CR	Worley, et al., "The Stabilities of New N-halamine Water Disinfectants", Wat. Res. Vol. 21(8), ppg 983-988, 1987.												

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EXAMINER	DATE CONSIDERED 07/25/04

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FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S FIRST SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
(Page 2 of 4)



ATTY. DOCKET NO.: SU-7245

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APPLICANT: JONATHAN N. HOWARTH ET AL.

FILING DATE: DECEMBER 21, 2001

GROUP:

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EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
M	BB	4	5	4	6	1	5	6		10/85	Fong et al.	526	240	
	BC	4	5	6	6	9	7	3		1/86	Masler, III et al.	210	701	
	BD	4	5	9	5	5	1	7		6/86	Abadi	252	82	
	BE	4	5	9	5	6	9	1		6/86	LaMarre et al.	514	367	
	BF	4	6	0	4	4	3	1		8/86	Fong et al.	525	351	
	BG	4	6	4	2	1	9	4		2/87	Johnson	210	699	
	BH	4	6	4	3	8	3	5		2/87	Kocplin-Gall et al.	210	754	
	BI	4	6	6	1	5	0	3		4/87	Martin et al.	514	372	
	BJ	4	6	8	0	3	3	9		7/87	Fong	525	54.11	
	BK	4	6	8	0	3	9	9		7/87	Buchardt	546	139	
	BL	4	7	0	3	0	9	2		10/87	Fong	525	351	
	BM	4	7	1	1	7	2	4		12/87	Johnson	210	699	
	BN	4	7	5	2	4	4	3		6/88	Hoots et al.	422	13	
	BO	4	7	5	9	8	5	2		7/88	Trulear	210	699	
	BP	4	7	6	2	8	9	4		8/88	Fong et al.	525	344	
	BQ	4	7	7	7	2	1	9		10/88	Fong	525	329.4	
	BR	4	8	0	1	3	8	8		1/89	Fong et al.	210	701	
	BS	4	8	0	2	9	9	0		2/89	Inskeep, Jr.	210	699	
	BT	4	8	2	2	5	1	3		4/89	Corby	252	106	
	BU	4	8	4	6	9	7	9		7/89	Hamilton	210	754	
	BV	4	8	8	3	6	0	0		11/89	MacDonald et al.	210	696	
	BW	4	8	8	6	9	1	5		12/89	Favstritsky	564	503	

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		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
M	BX	9	9	5	5	6	2	7		11/99	WIPO				
M	BY	0	0	3	4	1	8	6		6/00	WIPO				

OTHER ENCLOSED DOCUMENTS

M	BZ		Willard et al., "Elementary Quantitative Analysis", Third Edition, Chapter XIV, 1933, ppg. 261-271												
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APPLICANT: JONATHAN N. HOWARTH ET AL.

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U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HA	CB	4	8	9	8	6	8	6		2/90	Johnson et al.	252	389.2	
	CC	4	9	0	6	6	5	1		3/90	Hsu	514	372	
	CD	4	9	2	3	6	3	4		5/90	Hoots et al.	252	389.2	
	CE	4	9	2	9	4	2	4		5/90	Meier et al.	422	9	
	CF	4	9	2	9	4	2	5		5/90	Hoots et al.	422	13	
	CG	4	9	6	6	7	1	6		10/90	Favstritsky et al.	210	755	
	CH	4	9	9	2	2	0	9		2/91	Smyk et al.	252	387	
	CI	4	9	9	5	9	8	7		2/91	Whitekettle et al.	210	754	
	CJ	5	0	3	4	1	5	5		7/91	Soeder et al.	252	389.23	
	CK	5	0	3	5	8	0	6		7/91	Fong et al.	210	701	
	CL	5	0	4	7	1	6	4		9/91	Corby	252	106	
	CM	5	0	5	5	2	8	5		10/91	Duncan et al.	423	473	
	CN	5	1	1	8	4	2	6		6/92	Duncan et al.	210	721	
	CO	5	1	2	0	4	5	2		6/92	Ness et al.	210	754	
	CP	5	1	2	0	7	9	7		6/92	Fong et al.	525	329.4	
	CQ	5	1	4	1	6	5	2		8/92	Moore, Jr. et al.	210	754	
	CR	5	1	7	9	1	7	3		1/93	Fong et al.	525	329.4	
	CS	5	1	9	2	4	5	9		3/93	Tell et al.	252	106	
	CT	5	1	9	4	2	3	8		3/93	Duncan et al.	423	473	
	CU	5	1	9	6	1	2	6		3/93	O'Dowd	210	754	
	CV	5	2	0	2	0	4	7		4/93	Corby	252	106	
	CW	5	2	5	9	9	8	5		11/93	Nakanishi et al.	252	180	
	CX	5	2	6	4	1	3	6		11/93	Howarth et al.	210	754	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DB	5 3 8 9 3 8 4	2/95	Jooste	424	661	
DC	5 4 1 4 6 5 2	5/95	Mieda et al.	365	122	
DD	5 4 2 4 0 3 2	6/95	Christensen et al.	422	14	
DE	5 4 4 3 8 4 9	8/95	Corby	424	667	
DF	5 4 6 4 6 3 6	11/95	Hight et al.	424	661	
DG	5 5 2 5 2 4 1	6/96	Clavin et al.	210	753	
DH	5 5 2 7 5 4 7	6/96	Hight et al.	424	661	
DI	5 5 8 9 1 0 6	12/96	Shim et al.	252	387	
DJ	5 6 0 7 6 1 9	3/97	Dadgar et al.	252	187.2	
DK	5 6 7 9 2 3 9	10/97	Blum et al.	205	556	
DL	5 6 8 3 6 5 4	11/97	Dallmier et al.	422	14	
DM	5 7 9 5 4 8 7	8/98	Dallmier et al.	210	754	
DN	5 9 0 0 5 1 2	5/99	Elnagar et al.	568	14	
DO	5 9 2 2 7 4 5	7/99	McCarthy et al.	514	372	
DP	5 9 4 2 1 2 6	8/99	Dallmier et al.	210	756	
DQ	6 0 0 7 7 2 6	12/99	Yang et al.	210	752	
DR	6 0 1 5 7 8 2	1/00	Petri et al.	510	379	
DS	6 0 3 7 3 1 8	3/00	Na et al.	510	379	
DT	6 1 1 0 3 8 7	8/00	Choudhury et al.	210	752	
DU	6 1 2 3 8 7 0	9/2000	Yang et al.	252	186.1	
DV	6 1 5 6 2 2 9	12/00	Yang et al.	252	186.1	
DW	6 2 7 0 7 2 2	8/01	Yang et al.	422	37	4/29/98
DX	6 2 8 7 4 7 3	9/01	Yang et al.	210	754	

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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(Page 2 of 3)



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




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REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BB	5	8	8	9	1	3	0	3/99	Worley et al.	526	261	RECEIVED FEB 20 2002 TC 1700	
BC	5	9	0	2	8	1	8	5/99	Worley et al.	514	376		
BD	5	9	7	2	8	6	4	10/99	Counts	510	192		
BE	6	0	0	4	5	8	7	12/99	Mullerat et al.	424	661		
BF	6	0	0	7	7	3	5	12/99	Creed	252	186.25		
BG	6	0	6	8	8	6	1	5/00	Moore, Jr. et al.	424	703		
BH	6	0	8	3	5	0	0	7/00	Wooley et al.	424	93.48		
BI	6	0	9	9	8	5	5	8/00	Mullerat et al.	424	442		

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	BJ	8	9	1	0	6	9	6	11/89	WIPO					
	BK	9	7	2	0	5	4	6	6/97	WIPO					
	BL	9	7	2	0	9	0	9	6/97	WIPO					
	BM	9	7	4	3	3	9	2	11/97	WIPO					
	BN	9	8	0	4	1	4	3	2/98	WIPO					

OTHER ENCLOSED DOCUMENTS

BO	Frost Abstract of Bocharov D.A., "Disinfection of Poultry Processing Plant Objects", Proceedings of the 22 nd European meeting of Meat Research Workers, Malmo, August-September, 1 (C6), 4 pp., 1976. Accession No. 78674 Frosti
BP	CAPLUS Abstract of Heir, et al., "The Staphylococcus gacI gene product: a new member of the SMR family encoding multidrug resistance", FEMS Microbiol. Lett. (1998), 163(1), pp. 49-56. Accession No. 1998:343309 CAPLUS
BQ	Frost Abstract of Lemaire et al., "Plasmid-mediated resistance to antimicrobial agents among listeriae", Journal of Food Protection, 1998, November, 61 (11), pp. 1459-1464. Accession No. 483547 Frosti
DR	Frost Abstract of Mariot, N.G., "Meat and poultry sanitation", Essentials of Food Sanitation, published by Chapman & Hall, London, 1997, 188-210. Accession No. 441637 Frosti
BS	Frost Abstract of Mullerat et al., "Efficacy of Salmaide, a sodium chlorite-based oxy-halogen disinfectant, to inactivate bacterial pathogens and extend shelf-life of broiler carcasses", Journal of Food Protection, 1994, 57(7), 596-603. Accession No. 353342 Frosti
BT	CAPLUS Abstract of Sanderson et al., "Case Reports: epidemic eye and upper respiratory irritation in poultry processing plants", Appl. Occup. Environ. Hyg, 1995, 10(1), 43-9. Accession No. 1995:439186 CAPLUS
BU	Frost Abstract of Sheldon, B.W., "New and novel chemical and biological approaches for inhibiting pathogens and spoilage microorganisms associated with poultry food systems", Turkeys, 1996, 44(2), 9-12. Accession No. 410383 Frosti

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Second Supplemental
(use as many sheets as necessary)

Compl to If Kn wn

Application Number	10/028,631
Filing Date	12/21/2001
First Named Inventor	Howarth
Art Unit	—
Examiner Name	—
Attorney Docket Number	SU-7245

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

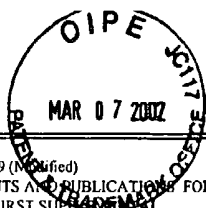
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***Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).** *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant to place a check mark here if English language Translation is attached.

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M	AB	3	1	5	2	0	7	3	10/64	Morton	210	62	
	AC	3	1	7	0	8	8	3	2/65	Owen et al.	252	187	
	AD	3	3	0	8	0	6	2	3/67	Gunther	210	58	
	AE	3	3	2	8	2	9	4	6/67	Self et al.	210	62	
	AF	3	5	5	8	5	0	3	1/71	Goodenough et al.	252	187	
	AG	3	5	8	9	8	5	9	6/71	Foroulis	21	2.7	
	AH	3	7	1	1	2	4	6	1/73	Foroulis	21	2.7	
	AI	3	7	4	9	6	7	2	1/73	Golton et al.	252	95	
	AJ	3	7	6	7	5	8	6	10/73	Rutkiewicz	252	187H	
	AK	4	0	3	2	4	6	0	6/77	Zilch et al.	252	8.55B	
	AL	4	2	3	7	0	9	0	12/80	DeMonbrun et al.	422	13	
	AM	4	2	9	5	9	3	2	10/81	Pocius	162	161	
	AN	4	3	8	2	7	9	9	5/83	Davis et al.	8	107	
	AO	4	4	2	7	4	3	5	1/84	Lorenz et al.	71	67	
	AP	4	4	5	1	3	7	6	5/84	Sharp	210	701	
	AQ	4	4	6	5	5	9	8	8/84	Darlington et al.	210	721	
	AR	4	4	7	6	9	3	0	10/84	Watanabe	166	279	
	AS	4	4	9	0	3	0	8	12/84	Fong et al.	260	513N	
	AT	4	5	3	9	0	7	1	9/85	Clifford et al.	162	161	

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
M	AU	9	0	1	5	7	8	0	12/90	WIPO			
	AV	9	7	3	4	8	2	7	9/97	WIPO			
	AW	9	8	1	5	6	0	9	4/98	WIPO			
	AX	9	9	0	6	3	2	0	2/99	WIPO			
	AY	9	9	3	2	5	9	6	7/99	WIPO			

OTHER ENCLOSED DOCUMENTS

M	AZ	Ault et al., "Infrared and Raman Spectra of the $M^+Cl_3^-$ ion Pairs and Their Chlorine-bromine Counterparts isolated in Argon Matrices", Journal of Chemical Physics, 1976, Vol. 64 No. 12, pp.4853-4859.
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(use as many sheets as necessary)

Compl to if Kn wn

Application Number	10/028,631
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Filing Date	12/21/2001
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First Named Inventor	Howarth
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Group Art Unit	
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Examiner Name	
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Attorney Docket Number	SU-7245
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Sheet	2	of	2
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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Examiner Signature	<i>M. J. W. [Signature]</i>	Date Considered	8/25/01
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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